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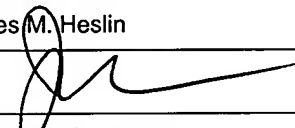
(to be used for all correspondence after initial filing)

		Application Number	10/692,424
		Filing Date	October 22, 2003
		First Named Inventor	MILLER, JOHN
		Art Unit	Unassigned
		Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number	021186-001520US

ENCLOSURES (Check all that apply)


<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard PTO/SB/O8A & PTO/SB/O8B
Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Townsend and Townsend and Crew LLP James M. Heslin	Reg. No. 29,541
Signature		
Date	November 20, 2003	

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Edward Masinas		
Signature		Date	November 20, 2003

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On 11-20-03

TOWNSEND and TOWNSEND and CREW LLP

By: Edward Masinas
Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MILLER, John et al.

Application No.: 10/692,424

Filed: October 22, 2003

For: BALLOON CATHETER

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 10/085,589, filed February 28, 2002 (Attorney Docket No. 021186-001510US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

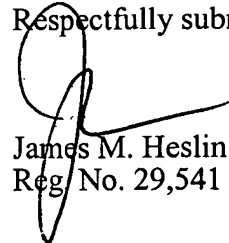
As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



James M. Heslin
Reg. No. 29,541

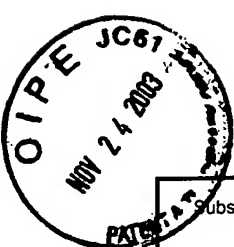
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JMH:ewm
60085364 v1



Substitute for form 1449A/PTO			Complete if Known		
			Application Number	10/692,424	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	October 22, 2003	
			First Named Inventor	Bui, John	
			Art Unit	Unassigned	
			Examiner Name	Unassigned	
Sheet	1	of	5	Attorney Docket Number	021186-001520US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	1	3,750,058	07-31-1973	Bankert, Jr. et al.	
	2	4,105,022	08-08-1978	Antoshkiw et al.	
	3	4,188,954	02-19-1980	Patel et al.	
	4	4,259,960	04-07-1981	Taylor	
	5	4,336,798	06-29-1982	Beran	
	6	4,484,586	11-27-1984	McMickle et al.	
	7	4,492,089	01-08-1995	Rohmer et al.	
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	9	4,886,496	12-12-1989	Conoscenti et al.	
	10	4,917,666	04-17-1990	Solar et al.	
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	14	5,285,744	02-15-1994	Grantham et al.	
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	18	5,334,160	08-02-1994	Ellis	
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	20	5,395,334	03-07-1995	Keith et al.	
	21	5,409,004	04-25-1995	Sloan	
	22	5,429,605	07-04-1995	Richling; Bernd et al.	
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	26	5,492,532	02-20-1996	Ryan et al.	
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	33	5,571,161	11-05-1996	Starksen	
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	35	5,606,981	03-04-1997	Tartacower, et al.	
	36	5,620,649	04-15-2003	Trotta	
	37	5,628,754	05-13-1997	Shevlin et al.	
	38	5,649,909	07-22-1997	Cornelius	
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	40	5,653,691	08-05-1997	Rupp et al	
	41	5,681,336	10-28-1997	Clement et al.	
Examiner Signature		Date Considered			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



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			Art Unit	Unassigned	
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				Attorney Docket Number	021186-001520US

U.S. PATENT DOCUMENTS+					
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		Number Kind Code ² (if known)			
	42	5,683,410	11-04-1997	Samson	
	43	5,695,008	12-09-1997	Bertet et al.	
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	46	5,728,063	03-17-1998	Preissman et al.	
	47	5,733,301	03-31-1998	Forman	
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	75	5,906,606	05-25-1999	Chee et al.	
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	82	5,938,672	08-17-1999	Nash	
Examiner Signature				Date Considered	

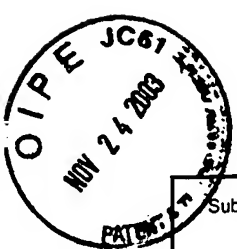
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	83	5,964,797	10-12-1999	Ho	
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	88	6,017,323	01-25-2000	Chee	
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	109	6,159,195	12-12-2000	Ha et al.	
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	111	6,165,152	12-26-2000	Becker	
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	113	6,176,851	01-23-2001	Tsugita et al.	
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U.S. PATENT DOCUMENTS+					
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	124	6,270,477 B1	08-07-2001	Bagaoisan et al.	
	125	6,315,757 B1	11-13-2001	Chee et al.	
	126	6,322,534 B1	11-27-2001	Shkolnik	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	127	WO	02/02162	A2	01-10-2002	Sepetka et al.		<input type="checkbox"/>
	128	WO	96/30074	A1	10-03-1996			<input type="checkbox"/>

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				Examiner Name	Unassigned
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	129	Commedore, Temporary Occlusion Balloon Catheter, at << http://www.cordis.com/Commodore_Balloon.cfm >>, visited on January 1, 2002, 8 pgs total.	
	130	Dodson, "Device Reverses Blood Flow, Prevents Embolism During Carotid Procedures," ArteriA Medical Science Inc. at << http://www.medicaldata.com/mit/detail.asp?art=04120101&MITUID=20359 >>, visited on April 12, 2001.	
	131	NeuroVASx ¹ , Acute Stroke Initiatives: Neuro Vasx Sub-MicroInfusion Catheter, at << http://www.neurovasx.com/products.html >>, visited on April 17, 2001, pp.1-3.	

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